1 2 3 4 5 6 7 8 9	HAROLD J. MCELHINNY (CA SBN 66781) hmcelhinny@mofo.com MICHAEL A. JACOBS (CA SBN 111664) mjacobs@mofo.com RACHEL KREVANS (CA SBN 116421) rkrevans@mofo.com ERIK J. OLSON (CA SBN 175815) ejolson@mofo.com MORRISON & FOERSTER LLP 425 Market Street San Francisco, California 94105-2482 Telephone: (415) 268-7000 Facsimile: (415) 268-7522  Attorneys for Plaintiff and Counterclaim-Defendant APPLE INC.	WILLIAM F. LEE william.lee@wilmerhale.com WILMER CUTLER PICKERING HALE AND DORR LLP 60 State Street Boston, MA 02109 Telephone: (617) 526-6000 Facsimile: (617) 526-5000  MARK D. SELWYN (SBN 244180) mark.selwyn@wilmerhale.com WILMER CUTLER PICKERING HALE AND DORR LLP 950 Page Mill Road Palo Alto, California 94304 Telephone: (650) 858-6000 Facsimile: (650) 858-6100
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12	UNITED STATES	S DISTRICT COURT
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16	APPLE INC., a California corporation,	Case No. 11-cv-01846-LHK (PSG)
17	Plaintiff,	APPLE'S OPPOSITION TO
18 19 20 21 22 23	v.  SAMSUNG ELECTRONICS CO., LTD., a Korean corporation; SAMSUNG ELECTRONICS AMERICA, INC., a New York corporation; and SAMSUNG TELECOMMUNICATIONS AMERICA, LLC, a Delaware limited liability company, Defendants.	SAMSUNG'S MOTION FOR NEW TRIAL REGARDING '381 PATENT PURSUANT TO RULE 59 BASED ON "NEWLY DISCOVERED EVIDENCE" OR, ALTERNATIVELY, FOR ENTRY OF JUDGMENT ON LIABILITY  Date: August 15, 2013 Time: 1:30 PM Place: Courtroom 8, 4th Floor Judge: Hon. Lucy H. Koh
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	APPLE'S OPPOSITION TO SAMSUNG'S MOTION FOR NEW T	Frial or for Entry of Judgment on Liability

sf-3311066

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### INTRODUCTION

The Court should reject Samsung's latest attempt to delay the retrial on damages. This time, Samsung has filed a procedurally improper Rule 59 motion. Samsung's motion is far too late—Samsung's deadline for filing was 28 days after entry of judgment, not ten months later.

In any event, Samsung's motion is wrong on the merits. First, to grant a new trial based on "newly discovered evidence," the evidence must have been in existence at the time of trial but not discoverable through the exercise of reasonable diligence. The evidence to which Samsung points—Apple's statements during reexamination of the '381 patent—did not exist until May 2013, some nine months after trial. This fact is a sufficient basis on which to deny Samsung's motion.

Second, Apple's reexamination statements are completely consistent with the position Apple has taken throughout this case. For example, the rebuttal report of Apple's expert, Dr. Ravin Balakrishnan, distinguished the Lira prior art reference before trial in the same way that Apple later distinguished it during reexamination. Thus, Samsung had actual notice of Apple's supposedly new position before trial and during trial.

Third, this purportedly new evidence would have made no difference. Samsung's motion is a thinly-disguised attempt to make new non-infringement arguments that it could have made at trial but chose not to present. This Court should not give Samsung a do-over. In any event, Samsung's new non-infringement arguments are wrong. The Van Dam Declaration ignores the unrebutted evidence at trial that Samsung's products practice all the limitations of claim 19, rather than merely "re-centering" documents. The new declaration fails to discuss either the behavior of the accused products or the source code admitted into evidence that proves this point. In short, even if Samsung's motion were timely and even if the Court "re-construed" claim 19 as Samsung proposes, Samsung's accused products would still infringe that claim.

Finally, Apple's statements fall far short of an unambiguous disclaimer that could have narrowed the scope of claim 19. Indeed, rather than analyzing what Apple actually said about claim 19 during reexamination, Samsung has its technical expert substantially re-characterize or mischaracterize Apple's statements and then argue that his recharacterization was not proved at

trial. The statements Apple actually made about claim 19 do not clearly and unambiguously narrow the scope of that claim as construed by the Court or applied by Apple at trial.

Nor should the Court grant Samsung's "alternative," completely unrelated, request to enter another judgment on liability and stay the proceedings pending an appeal under 28 U.S.C. § 1292(c). Samsung's opportunity for a Section 1292(c) appeal has come and gone, for two independent reasons. First, there was no bifurcation of liability and damages here. Rather, both liability and damages were tried together, so Section 1292(c) does not even apply. Indeed, it is noteworthy that Samsung has never before asserted that Section 1292(c) applies here, even though the Federal Circuit's Bosch decision makes clear that it creates no new law. Robert Bosch, LLC v. Pylon Mfg. Corp., No. 2011-1363, 2013 WL 2664281, at \*6 (Fed. Cir. June 14, 2013) (en banc). Second, even if Section 1292(c) did apply, the time to take an appeal of liability has passed and cannot be revived. After trial on liability and damages, this Court issued judgment on August 24, 2012. The Court then resolved all post-judgment motions as to liability on January 29, 2013. At that point, only a portion of the damages issues remained unresolved. So even if a non-bifurcated trial could result in a judgment that is "final except for an accounting" under 28 U.S.C. § 1292(c)(2), the time to appeal was within 30 days of January 29. Samsung did not do so. That 30-day deadline is jurisdictional and cannot now be extended. Samsung is trying to restart the clock by asking this Court to issue another liability judgment. But under well-settled law, even if the Court were to do so, it would not restart the clock.

Both of Samsung's motions should be denied. The parties have already invested immense effort preparing for the upcoming damages trial. Apple's expert report has been served and Samsung will serve its report in four days, on July 26th. Extensive judicial resources have been expended. This case is within striking distance of a true final judgment. The Court should proceed with the trial.

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**ARGUMENT** 

# I. SAMSUNG'S MOTION BASED ON PURPORTED "NEWLY DISCOVERED EVIDENCE" SHOULD BE DENIED.

### A. Samsung's Motion Is Time Barred.

Samsung's Rule 59 motion is too late. The Federal Rules require that "[a] motion for a new trial must be filed no later than 28 days after the entry of judgment." Fed. R. Civ. P. 59(b). "The 28-day limit for motions for a new trial applies even when the new trial is sought on the ground of newly discovered evidence." Wright & Miller, *Federal Practice and Procedure* § 2812. The Court issued judgment on August 24, 2012. (Dkt. No. 1933.) More than ten months elapsed between the entry of judgment and the filing of Samsung's motion. The motion is time barred.

Moreover, Rule 6(b) "bars a district court from extending the . . . period for a new trial motion." *Tillman v. Ass'n of Apt. Owners of Ewa Apts.*, 234 F.3d 1087, 1089 (9th Cir. 2000). Rule 6(b) provides that the Court "must not extend the time" for Rule 59 motions. Fed. R. Civ. P. 6(b)(2). Accordingly, this Court is "without jurisdiction to consider" Samsung's untimely Rule 59 motion. *Tillman*, 234 F.3d at 1089.

### B. Samsung's Motion Fails On The Merits.

Samsung cannot meet the standard for a new trial in any event. To justify a new trial based on newly discovered evidence, under either Rule 59 or 60, Samsung must show the evidence (1) existed at the time of the trial, (2) could not have been discovered through due diligence, and (3) was of such magnitude that production of it earlier would have been likely to change the disposition of the case. Jones v. Aero/Chem Corp., 921 F.2d 875, 878 (9th Cir. 1990) (per curiam) (quoting Coastal Transfer Co. v. Toyota Motor Sales, USA, 833 F.2d 208, 211 (9th Cir. 1987)).

<sup>&</sup>lt;sup>1</sup> This Court could choose to treat Samsung's untimely Rule 59 motion as a motion under Rule 60(b)(2), but, because of the numerous substantive defects itemized in this brief, the result would not change. Samsung's motion cannot succeed.

Samsung cannot make any of these showings, and each failing is an independent basis to

deny the motion. First, Samsung relies on statements Apple made during reexamination to argue

that Apple disclaimed part of claim 19's scope. But Apple's statements did not exist at the time

of trial; they were made some nine months later. Second, Samsung had actual notice at trial of

pre-trial proceedings, at trial, and during reexamination. Samsung chose not to present the non-

infringement theory on which it now focuses. Samsung is not entitled to a do-over. Third, even

if Apple's reexamination statements had been made earlier, the outcome of the trial would not

have changed. Samsung's new non-infringement theory is simply wrong. Finally, Apple's

statements were consistent with the plain language of the claims and therefore did not

unmistakably disavow claim scope, so the claim construction would have been the

same. Samsung's motion should be denied.

the position Apple later took during reexamination, because Apple's position was the same during

### Apple's Reexamination Statements Did Not Exist At The Time Of 1. Trial.

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Samsung asserts that on May 9 and 13, 2013, during reexamination, Apple adopted an entirely new position on the construction of claim 19, and that this "newly discovered evidence" justifies a new trial. (Dkt. No. 2338-3 at 5-15.) Samsung's assertion is untrue, but in any event, it is well established that any purported newly discovered evidence must have existed at the time of trial. Jones, 921 F.2d at 878; Corex Corp. v. United States, 638 F.2d 119, 121 (9th Cir. 1981); Wright & Miller, Federal Practice and Procedure § 2859 & n.5. Apple's May 2013 reexamination statements plainly did not exist at the time of trial, and therefore cannot serve as the basis for a new trial.

Recognizing this fatal flaw in its motion, Samsung argues that "[r]eexamination proceedings that take place after a trial but are based on facts that were available at the time of the trial constitute 'newly discovered evidence.'" (Dkt. No. 2338-3 at 8.) That is not true either. A statement that was made during reexamination months after trial is not evidence that existed at the time of trial. Thus, in the trademark context, the Third Circuit held that the Patent and Trademark Office's cancellation of a trademark registration after trial did not constitute "newly discovered APPLE'S OPPOSITION TO SAMSUNG'S MOTION FOR NEW TRIAL OR FOR ENTRY OF JUDGMENT ON LIABILITY

evidence" under Rule 60(b) "because the fact of cancellation was not in existence at the time of

trial." Betterbox Commc'ns Ltd. v. BB Techs., Inc., 300 F.3d 325, 331 (3d Cir. 2002).

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Samsung suggests that three cases support its theory that statements not made until months after trial can be considered evidence that existed at the time of trial (Dkt. 2338-3 at 8-10), but none of those cases so holds.

Standard Havens Products, Inc. v. Gencor, 897 F.2d 511 (Fed. Cir. 1990), did not even address that question. In Standard Havens, the district court entered an injunction after a jury found the defendant to have infringed, and the defendant asked the Federal Circuit for a stay of the injunction pending appeal. The question addressed by the Court was whether the defendant had established a likelihood that it would prevail on appeal. *Id.* at 512-13. Without addressing Rule 59 or 60, the Federal Circuit held that the defendant had made a sufficient showing on the merits because a reexamination ordered by the PTO after the jury verdict showed there was a substantial question regarding whether the patents would be held invalid on appeal. *Id.* at 514. The court went on to posit that the defendant might also prevail if it were to establish that the district court abused its discretion in denying a new trial, for which there were two possible grounds: (1) the alleged improper admission of evidence or (2) the PTO reexamination, which the defendant claimed was "newly discovered evidence." *Id.* at 514-15. Stating only that the two grounds "raise[d] serious questions," id. at 515, the Federal Circuit never considered whether statements in a reexamination after trial could be considered evidence that existed at the time of trial.

SK hynix Inc. v. Rambus Inc., No. 00-CV-20905, 2013 WL 1915865 (N.D. Cal. May 8, 2013), likewise does not support Samsung's position. That decision reiterates that "[t]he Ninth Circuit has held that the evidence must have 'existed at the time of trial.'" Jones, 921 F.2d at 878. Citing the same dicta from Standard Havens on which Samsung relies, the district court stated that "the Federal Circuit has suggested that the Patent and Trademark Office's decisions to withdraw approval of a certificate of correction and order reexamination might warrant a new trial." Id. at \*10. But the district court never decided whether post-trial reexamination statements

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could be newly discovered evidence; it merely "assum[ed]" that they could and denied the motion for other reasons. Id.

Samsung also relies on a decision from the Court of Federal Claims, TDM America, LLC v. United States, 100 Fed. Cl. 485 (2011). There, the trial court granted summary judgment of non-infringement on April 27, 2010, and the plaintiff/patentee moved for relief from the judgment under Rule 60(b), pointing to reexamination statements by the examiner. *Id.* at 491. The reexamination was not complete until after the judgment was entered. But the plaintiff was not relying on the final outcome of the reexamination. Rather, the plaintiff relied on "many of the earlier examiner comments" made during the course of the reexamination. *Id.* Unlike here, those statements actually were made before summary judgment was entered. *Id.* at 489-90 (relying on statements made on March 12, 2010, six weeks before the district court granted summary judgment). TDM America is therefore inapposite. And in any event, the statements there did not support the plaintiff because the PTO later reversed its determination. *Id.* at 491.

Samsung's motion should thus be denied on the merits for the straightforward reason that the evidence on which it relies did not exist at the time of trial.

### 2. Samsung Was Well Aware Of Apple's Position Throughout Pretrial **Proceedings And The Trial.**

Even if Samsung were correct (which it is not) that "[r]eexamination proceedings that take place after a trial but are based on facts that were available at the time of the trial constitute 'newly discovered evidence'" (Dkt. No. 2338-3 at 8), its motion fails for yet another reason. Samsung cannot meet the second prong of the newly-discovered test because Samsung was on actual notice of Apple's position, both before and during trial. Apple's arguments and opinion testimony in this lawsuit on the validity of claim 19, and on why prior art references were not anticipating, are entirely consistent with Apple's later arguments in reexamination.

A centerpiece of Apple's position in its pre-trial expert reports was that claim 19 required a device with instructions to take action "in response to the edge of the document being reached" and upon the user's lifting a finger from the screen, to translate the document in a second

direction "until the area beyond the edge was no longer displayed." (Balakrishnan Rebuttal Report  $\P$  87.) That is, merely centering or re-centering a document did not suffice.

For example, in his Rebuttal Expert Report on the Validity of the '381 Patent, Dr. Balakrishnan responded to Dr. Van Dam's pretrial opinions that the Lira, LaunchTile, and Tablecloth references anticipated claim 19. Dr. Balakrishnan opined that these references did not disclose "edge-responsive functionality" as required by the '381 patent, but instead could be manipulated to "simulate supposed edge-responsive behavior, yet it is clear that these references are in fact merely re-centering items." (Id. ¶¶ 53-55 (emphasis added).) With respect to Lira specifically, Dr. Balakrishnan opined: "Like LaunchTile, Lira discloses a recentering functionality and a method for realigning or reformatting webpage content so that it can fit more easily into a small screen . . . . It does not disclose what will or should happen if and when the user tries to scroll past the edge of the page." (Id. ¶ 87.) Dr. Balakrishnan opined that Lira's "centering functionality" did not disclose the following limitations: "in response to an edge of a document being reached . . . displaying an area beyond the edge of the document" and "in response to detecting that the object is no longer on or near the touch screen display, translating the electronic document in a second direction until the area beyond the edge of the document is no longer displayed to display a fourth portion of the electronic document, wherein the fourth portion is different from the first portion." (*Id.*)

Apple's position was consistent at trial. Samsung elected not even to assert Lira as invalidating prior art at trial. But Dr. Van Dam did testify for Samsung that the similar LaunchTile reference anticipated claim 19. Dr. Balakrishnan rebutted Dr. Van Dam's opinion, testifying that LaunchTile did not embody the limitation "in response to the edge of the document being reached" because it was "really a recentering algorithm. It doesn't actually check that it reached the edge of any document." (Trial Tr. 3635:13-18.)

Samsung's assertion that before the reexamination proceedings Apple did not distinguish prior art based on the distinction between mere "recentering" and "edge detection" is untenable. Contrary to Samsung's assertion that "Apple advocated an entirely new and far narrower

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interpretation of Claim 19" in reexamination (Dkt. No. 2338-3 at 3), Apple's position has been consistent throughout, and Samsung was well aware of it.

## 3. Apple's Reexamination Statements Would Have Made No Difference In The Outcome.

Finally, Samsung cannot meet the third prong of the newly-discovered evidence standard. Even if Apple's reexamination statements had existed at the time of the trial, they would have made no difference to the outcome. As an initial matter, Samsung waived its chance to mount this non-infringement defense by failing to present it at trial. In any event, those statements would not have refuted the infringement theory on which Apple prevailed at trial. Finally, those statements do not amount to an unambiguous disclaimer of claim scope because they merely restate the plain meaning of the claim language.

### a. Samsung's New Non-Infringement Arguments Could Have Been Made At Trial, But Were Waived, And They Are Wrong In Any Event.

Samsung's motion seeks a do-over now that its original litigation strategy has failed.

Samsung knew Apple's position and yet chose to present a different theory at trial. It is too late for it to try a new theory now. And that theory is wrong in any event.

Apple's infringement theory at trial was clear. In his expert report on infringement, Dr. Balakrishnan detailed how Samsung's accused products running the Gallery application met each limitation of claim 19, and he cited to specific pages of source code where the key infringing instructions were found. (Balakrishnan Expert Report ¶¶ 78, 95 and Ex. 3.)<sup>2</sup> At trial, Dr. Balakrishnan testified that the Samsung code and the Samsung devices met the limitations of "in response to the edge being reached . . . displaying the area beyond the edge" and then translating the document in a second direction "such that the area beyond the edge of the screen previously



displayed is no longer displayed." (Trial Tr. 1746-1747.) In describing the Samsung code that Dr. Van Dam now says is insufficient to prove infringement, Dr. Balakrishnan testified that he had "excerpted just two small portions to illustrate some of the pertinent code for the Gallery and for the Browser applications on the screen." (Trial Tr. 1747:13-19.) In explaining to the jury how these "small portions" of code operated, Dr. Balakrishnan testified as follows:

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12\, Q CAN YOU TELL US WHAT WE'RE SEEING ON THE
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13 SOURCE CODE?

- 14 A ON THE LEFT-HAND SIDE IS THE SOURCE CODE FOR
- 15 THE GALLERY APPLICATION THAT WE'VE BEEN TALKING
- 16 ABOUT. SO THIS IS A VERY SMALL SNIPPET OF THE
- 17 OVERALL CODE THAT RUNS. WHAT I'VE DONE IS



- 1 ON THE RIGHT-HAND SIDE IS THE SAME
- 2 FUNCTIONALITY, BUT WRITTEN IN A SLIGHTLY DIFFERENT
- 3 WAY FOR THE BROWSER APPLICATION, AND IN THIS CASE

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- 7 SO I WANT TO EMPHASIZE, THIS IS JUST THE
  - 8 RELEVANT SNIPPET OF THE OVERALL CODE. THERE'S MUCH
  - 9 MORE CODE THAT MAKES THIS ALL REALLY WORK IN
  - 10 TOTALITY.
- 17 | 11 Q SO BASED ON YOUR REVIEW OF THE CODE AND OF THE
  - 12 DEVICE, WHAT IS YOUR CONCLUSION AS TO WHETHER THE
  - 13 SAMSUNG GALLERY S II, AT&T, INFRINGES CLAIM 19 OF
- 18 13 SAMSCING GALLES
  - 15 A BASED ON MY REVIEW OF THE DEVICES AND THE
  - 16 CODE, IT IS MY OPINION THAT THE SAMSUNG
  - $17\ \ GALAXY\ S\ II,\ AT\&T,\ INFRINGES\ CLAIM\ 19\ OF\ THE\ '381$
  - 18 PATENT IN ALL THREE APPLICATIONS.
  - 19 Q YOU ANALYZED OTHER SAMSUNG PHONES?
  - 20 A YES, I DID.
  - 21 Q AND DOES YOUR OPINION EXTEND TO OTHERS OF THE
  - 22 PHONES THAT YOU EXAMINED?
  - 23 A YES, 20 OTHER PHONES ALSO INFRINGE THE '381
  - 24 PATENT

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### (Trial Tr. 1750:12-1751:24.)

Apple introduced additional source code into evidence as PX31. That evidence demonstrated that all the Samsung accused products detected and translated documents based upon the "edge" of the document being detected, without necessarily centering or re-centering the underlying electronic document. (Trial Tr. 1751-1754; PX31; PX64.) Apple demonstrated

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infringement in the Gallery application using a zoomed-in image of a stick figure that could be
pulled beyond the edge of the underlying electronic document, and then released to translate in
the opposite direction until the edge of the document was reached, without centering or re-
centering the document. (Trial Tr. 1741-1754; PX64.) At trial, Samsung did not challenge
Apple's infringement theory or the sufficiency of its proof. Indeed, Dr. Van Dam did not offer
any non-infringement opinions in his expert reports or at trial and in fact had never looked at the
source code running on the accused devices. Instead, Samsung pursued its contrived "hold still"
defense. (Trial Tr. 1790-1798.) The jury rejected Samsung's defense, and this Court rejected
Samsung's JMOL and previous new trial motions.

Apparently Dr. Van Dam has now looked at some of the code. Ten months after the jury's verdict, he opines for the first time that the Gallery code does not meet the limitations of claim 19. His new theory is that

(Van Dam Decl. ¶¶ 34-35 & Ex. 2.) That exact argument could have been presented at trial, but Samsung relied instead on its "hold still" defense. That is enough to deny Samsung's new trial request.

But even if Samsung had pursued Dr. Van Dam's new theory at trial, it would not have prevailed. That new theory is neither responsive to Apple's infringement argument nor correct. Apple demonstrated at trial that documents that are zoomed in or are otherwise larger than the screen on Samsung's Gallery, Browser, and Contacts applications do not "re-center" or show the original image when pulled beyond the edge and released. Instead, in Samsung's accused products, these zoomed-in or large documents translate "until the area beyond the edge is no longer displayed" and a "fourth portion" of the document "different from the first portion" is displayed. (Trial Tr. 1741-1754; PX64.) And it is these documents that present the most serious potential for the "Desert Fog" or "frozen screen" problems that the '381 invention was designed to solve and that benefit the most from that invention.

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1	Dr. Van Dam's new declaration does not even address, let alone refute, Apple's
2	infringement claim relating to the Gallery application. Instead, he limits his discussion and his
3	one video demonstration to documents that are not zoomed in and that are the same size as or
4	smaller than the screen. This completely ignores the undisputed evidence the jurors saw with
5	their own eyes, namely that the Samsung devices did not "center" or "re-center" a zoomed-in
6	document but instead translated the document in response to "edge detection." (See, e.g., PX64
7	and Trial Tr. 1741-1754.)
8	Dr. Van Dam compounds this error by misinterpreting the Samsung code.
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23	Although Dr. Van Dam declares that "I reviewed all the Gallery code that I considered
24	relevant" (Van Dam Decl. ¶ 36), he fails to analyze the specific code sections described in Dr.
25	Balakrishnan's Expert Report and included in PX31.
26	
27	Dr. Van Dam's conclusions regarding non-infringement are
28	therefore wrong.
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1	If Samsung had presented this new theory at trial, it would have failed. As described	
2	more fully in the accompanying Supplemental Declaration of Ravin Balakrishnan,	
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9	Dr. Balakrishnan	
10	specifically cited this code in his expert report (¶ 95) and showed a portion of it to the jury, and it	
11	was included in the key code excerpts admitted in PX31. (Balakrishnan Decl. ¶¶ 8-10.) That is	
12	the infringement case Apple demonstrated at trial. Samsung's new theory does not rebut that	
13	infringement.	
14	Even assuming there is some special case in which the manipulation of an unzoomed	
15	image smaller than the screen does not practice all of the claim 19 limitations, that would not	
16	immunize behavior and instructions that plainly do infringe. In that respect, Samsung's new	
17	argument is just as flawed as its failed "hold still" defense. The ability to create "hold still"	
18	behavior did not prevent the Samsung devices from executing instructions that infringed claim 19	
19	or erase those infringing instructions from Samsung's code. So too with Samsung's new theory.	
20	In any event, Samsung elected not to make this argument at trial. Samsung is not entitled to a	
21	new trial merely because its tactical choices were unsuccessful and it would like to try a different	
22	non-infringement argument now.	
23	Dr. Van Dam's new arguments about the Browser code fare no better.	
24		
25	That	
26	theory likewise could have been asserted at trial but was not.	
27	This new argument has nothing to do with any purported disclaimer in the reexamination,	
28	and, in any event, is wrong. As Dr. Balakrishnan demonstrated at trial and the jurors could see	

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for themselves, in the accused Samsung products a web page can be pulled past the edge of the document; when released, it bounces back to the edge of the document. (PX64.) It does not continue to oscillate as though it were attached to a spring. (Cf. PX64.) Dr. Van Dam's declaration concerning the Browser code is just plain wrong. Indeed, it is not clear whether Dr. Van Dam reviewed the Samsung Browser code that was admitted into evidence, or whether he just reviewed the standard Honeycomb version of the Android code and assumed that it was identical to Samsung's code. Because Dr. Van Dam does not cite to any Bates numbers of Samsung code printouts, Apple cannot be sure whether he ever reviewed the produced Samsung code. But the glaring errors in his discussion of the Browser code suggest that he did not.  In fact,  In fact,  12  13  14  15  16  17  18  19  20  21  22
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The actual Samsung source code instead
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As Dr. Balakrishnan explains,

This indisputable fact is also apparent from observation of the accused devices in operation, as demonstrated at trial, and as shown in PX64.

Dr. Van Dam's misguided comments about standard Android code functions that are not performed by the Samsung accused products running Browser have nothing to do with Apple's infringement case. Moreover, his new theory could have been presented at trial but was not. For both reasons, this new theory cannot support Samsung's motion for a new trial.

# b. Apple's Reexamination Statements Were Not An Unambiguous Disclaimer Of Claim Scope.

Apple's reexamination statements would have made no difference to the outcome of the case for another reason: Apple's reexamination statements were consistent with its arguments at trial, and are not an unambiguous disclaimer of claim scope. Prosecution history disclaimer will be found "only if the allegedly disclaiming statements constitute 'a clear and unmistakable surrender of subject matter." *Ecolab, Inc. v. FMC Corp.*, 569 F.3d 1335, 1342 (Fed. Cir. 2009) (quoting *Bayer AG v. Elan Pharm. Research Corp.*, 212 F.3d 1241, 1251 (Fed. Cir. 2000)); *see Omega Eng'g, Inc. v. Raytek Corp.*, 334 F.3d 1314, 1324 (Fed. Cir. 2003) (disclaimer doctrine "attaches and narrows the ordinary meaning of the claim" only where the inventor "unequivocally disayow[s] a certain meaning to obtain his patent").

Apple argued in the reexamination, as it did throughout this litigation, that the Lira reference did not meet the claim 19 requirement of having "instructions for translating the Apple's Opposition to Samsung's Motion for New Trial or for Entry of Judgment on Liability Case No. 11-cv-01846-LHK (PSG) sf-3311066

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electronic document in a second direction until the area beyond the edge of the document is no
longer displayed" because "Lira discloses a centering function." (Response, May 13, 2013 (Dkt.
No. 2339-4 at 190).) "As discussed in the interview, Patent Owner asserts that, even under the
broadest reasonable construction standard, a person of ordinary skill in the art would understand
that a device of claim 19 must include <b>instructions</b> for performing translation in the second
direction with the recited <b>stop condition</b> (i.e., "until the area beyond the edge of the electronic
document is no longer displayed.") Further, the person of ordinary skill would understand that
incidentally achieving a similar visual result, based on a different stop condition, is neither an
express or inherent disclosure of the required instructions. Lira discloses a centering function.
Thus, Lira fails to disclose, explicitly or inherently, instructions for translation in the second
direction with the stop condition ('i.e. until the area beyond the edge of the document is no longer
displayed') recited in claim 19." (Id.) Apple's statements merely made explicit what was already
implicit in the patent—that a centering function alone without the recited instructions to translate
"until the area beyond the edge of the electronic document is no longer displayed" cannot meet
the requirements of claim 19. Such statements do "not disclaim any subject matter that was
otherwise within the scope of the claim language." Cordis Corp. v. Medtronic AVE, Inc., 511
F.3d 1157, 1177 (Fed. Cir. 2008).
Tellingly, Samsung's motion makes only passing reference to the words Apple actually

Tellingly, Samsung's motion makes only passing reference to the words Apple actually used during reexamination. Instead, Samsung quotes at length from statements that the *examiner* made, only a snippet of which quotes Apple. And Samsung relies extensively on *Dr. Van Dam's characterization* of what Apple said during examination. (Dkt. No. 2338-3 at 6.) Citing Dr. Van Dam's words, rather than Apple's actual words, Samsung argues that Apple made a disclaimer. (Dkt. No. 2338-3 at 12.) But neither the examiner's comments nor Dr. Van Dam's advocacy can be a disclaimer *by Apple*. Disclaimers must be made by the applicant, not someone else. *See Toshiba Corp. v. Hynix Semiconductor Inc.*, No. C-04-4708 VRW, 2006 WL 2432288, at \*11 (N.D. Cal. Aug. 21, 2006).

Nor is Dr. Van Dam's characterization correct. Dr. Van Dam cites an excerpt from an Apple statement about claims 1-6, 8-12, and 16 (Dkt. No. 2339-4 at 194), and transforms that Apple's Opposition to Samsung's Motion for New Trial or for Entry of Judgment on Liability Case No. 11-cv-01846-LHK (PSG)

statement into his opinion that "Apple disclaimed all subject matter with respect to claim 19 in which the specific **purpose** or **cause** of the computer code that generates the snap back effect is anything other than edge alignment." (Van Dam Decl. ¶¶ 24-25 (emphasis in original).) Dr. Van Dam and Samsung then purport to apply this manufactured "disclaimer" to claim 19 in the quest for a new trial on infringement. Dr. Van Dam offers the opinion that Apple unambiguously stated that its patent claim recites "why" a program operates as it does rather than "how" the program operates. As demonstrated by the text of Apple's reexamination filing, Apple said nothing of the sort, and there is no showing that Dr. Van Dam has any special expertise in patent law or in interpreting (or reinterpreting) purported legal disclaimers. Accordingly, Apple objects under Local Rule 7-3(a) to Dr. Van Dam's "opinions" that characterize what Apple actually said about claim 19 or the prior art during reexamination.

In short, Apple did not make any statements that clearly and unambiguously narrowed the scope of this Court's construction of the claim or Apple's application of that construction at trial. Samsung's motion should be denied on that basis as well.

# II. SAMSUNG'S ALTERNATIVE MOTION FOR ENTRY OF A SECOND JUDGMENT ON LIABILITY SHOULD BE DENIED.

After the Court and the parties have expended tremendous resources and effort to prepare for the upcoming trial on the remaining damages issues, Samsung for the first time asserts that it can appeal the liability ruling under 28 U.S.C. § 1292(c). Section 1292(c) permits appeals to the Federal Circuit of patent infringement judgments that are "final except for an accounting." 28 U.S.C. § 1292(c)(2).

Samsung asserts that it can appeal "in light of the Federal Circuit's recent *en banc* decision" in *Bosch*. *Bosch* held that an "accounting" within Section 1292(c) "includes both the determination of an infringer's profits as well as a patentee's damages" and that it "may include a trial on damages." *Robert Bosch*, *LLC v. Pylon Mfg. Corp.*, No. 2011-1363, 2013 WL 2664281, at \*2 (Fed. Cir. June 14, 2013) (en banc). But that also was the law before *Bosch*. Indeed, the Federal Circuit's decision explicitly states that Section 1292(c) had been interpreted consistently

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"through history" to permit appeals before the determination of damages. *Id.* at \*6; *see id.* at \*3-5 (discussing interpretation of "accounting" since 1870).

Samsung's delay in making this argument (which even now it only makes in the alternative to a wholly unrelated motion) betrays its lack of merit. It is too late for any appeal under Section 1292(c), for two independent reasons.

First, Section 1292(c)(2) does not apply where, as here, liability and damages were tried together, rather than bifurcated. *Bosch*'s holding expressly is limited to cases where liability and damages were not tried together. 2013 WL 2664281, at \*12. Obviously, that is not what happened here, and the time to take the bifurcation path has long since come and gone.

Second, even if an appeal under Section 1292(c)(2) were available here, the time to appeal already has expired. It ran 30 days after the judgment became final as to liability. Samsung is now requesting entry of another "judgment as to liability" to try to restart the clock on a Section 1292(c)(2) appeal. (Dkt. No. 2338-3 at 15.) Even if the Court were to grant Samsung's request, it would not restart the clock. The Federal Circuit would dismiss for lack of jurisdiction any appeal taken from such a judgment.

This Court already entered judgment on liability on August 24, 2012. The Court entered a "Judgment in a Civil Case" that "pursuant to the jury verdict filed August 24, 2012, judgment is entered in favor of plaintiff and against defendants." (Dkt. No. 1933 at 1.) That document met all the requirements of a "judgment." *See* Fed. R. Civ. P. 58(a), (c)(2) (requiring that judgment "be set out in a separate document" and "entered in the civil docket"); *Carter v. Beverly Hills Sav. & Loan Ass'n*, 884 F.2d 1186, 1191 (9th Cir. 1981) ("A judgment or an order signed by the judge or the clerk that is a separate document and labeled as a judgment or order would clearly comply."). Although Samsung has argued that the August 24, 2012, judgment is not a "final" judgment (Dkt. No. 1967 at 1), a final judgment is not required under Section 1292(c)(2). That provision requires only a judgment that is "final except for an accounting." 28 U.S.C. § 1292(c)(2).

Apple and Samsung timely filed post-judgment motions concerning both liability and damages. (*See* Dkt. Nos.1981, 1982, 1988, 1989, 1990.) Pendency of those timely post-Apple's Opposition to Samsung's Motion for New Trial or for Entry of Judgment on Liability Case No. 11-cv-01846-LHK (PSG)

judgment motions stayed the time for filing a notice of appeal. Fed. R. App. P. 4(a)(4)(A). But all timely post-judgment motions as to liability were resolved by this Court's orders on January 29, 2013. (Dkt. Nos. 2219 & 2220.) There is no additional liability judgment to be issued.

Therefore, even assuming that a non-bifurcated liability and damages trial could result in a judgment that is "final except for an accounting" under Section 1292(c)(2), the time to appeal that judgment was triggered upon resolution of the timely post-judgment motions as to liability. If any appeal was available under Section 1292(c), it existed only within 30 days of January 29, 2013. Fed. R. App. P. 4(a)(1)(A) (notice of appeal must be filed within 30 days); *Ortiz v. Jordan*, 131 S. Ct. 884, 891 (2011) (appeal from interlocutory order must be filed within 30 days). But Samsung did not appeal at that time.

No separate "judgment on liability" needed to be entered to trigger the time to appeal under Section 1292(c). Indeed, the form of this Court's rulings on the post-judgment motions is essentially the same as the form of the orders that the Federal Circuit held triggered the appeal in *Bosch*. Like the August 24, 2012, judgment here, the district court in *Bosch* entered judgment that "[f]or the reasons stated in the jury verdict," "judgment be and is hereby entered in favor of plaintiff Robert Bosch, LLC and against defendant Pylon Manufacturing Corp." as to certain patent claims and "in favor of defendant Pylon Manufacturing Corp. and against plaintiff Robert Bosch, LLC" as to other claims.<sup>3</sup> Then, like the January 29, 2013, order resolving the post-judgment motions on liability here, the district court in *Bosch* issued an order granting in part and denying in part the motions for judgment as a matter of law.<sup>4</sup> Only the *Bosch* defendant's counterclaim for unenforceability due to inequitable conduct remained unresolved, but then the district court issued a Rule 54(b) judgment on "Pylon's claim of unenforceability." At that point, the judgment became final except for an accounting, and the parties appealed under Section

<sup>&</sup>lt;sup>3</sup> Amended Judgment in a Civil Case, *Robert Bosch, LLC v. Pylon Mfg. Corp.*, No. 08-CV-542 (D. Del. May 3, 2010) (Dkt. No. 306).

<sup>&</sup>lt;sup>4</sup> Order, *Robert Bosch, LLC v. Pylon Mfg. Corp.*, No. 08-CV-542 (D. Del. Nov. 3, 2010) (Dkt. No. 361).

<sup>&</sup>lt;sup>5</sup> Final Judgment Following Post Trial Motion Practice Pursuant to Fed. R. Civ. P. 54(b), *Robert Bosch, LLC v. Pylon Mfg. Corp.*, No. 08-CV-542 (D. Del. Mar. 23, 2011) (Dkt. No. 396).

1292(c)(2) within 30 days.<sup>6</sup> The Federal Circuit held that the appeal was proper. There was no separate document titled "judgment on liability" entered after resolution of the post-judgment motions that triggered the appeal in *Bosch*, nor was there any need for one here.

The 30-day period to appeal is jurisdictional, and it cannot be extended except in extraordinary circumstances that are not present here. *Bowles v. Russell*, 551 U.S. 205, 209-10 (2007); 28 U.S.C. § 2107(c). Nor are there any "equitable exceptions" to the rule. *Bowles*, 551 U.S. at 213-14. In any event, the time to ask for an extension already has long passed. 28 U.S.C. § 2107(c).

Nor can resolution of Samsung's current untimely Rule 59 motion restart the time to appeal. Because the motion was untimely, it cannot toll or otherwise extend the time to file a notice of appeal. *Tillman*, 234 F.3d at 1089. And because this Court is without jurisdiction to consider the untimely Rule 59 motion, the court of appeals likewise would lack jurisdiction to hear any attempted appeal from an order denying the motion. *Id*.

Finally, if this Court were to grant Samsung's request for entry of a "judgment on liability," that would not restart the clock for Samsung to appeal. Entry of a new judgment confirming what this Court already has adjudicated would "not toll the time within which review must be sought." *United States v. Bealey*, 978 F.2d 696, 699 (Fed. Cir. 1992). Here, entry of the August 24, 2012, judgment and resolution of the post-judgment motions settled with finality all liability issues. The order that Samsung requests would merely reconfirm what this Court already has adjudicated. Courts of appeals repeatedly have dismissed such appeals for lack of jurisdiction where the appeal should have been brought within 30 days from the original judgment. *Id.*; *Napoli v. Town of New Windsor*, 600 F.3d 168, 170-71 (2d Cir. 2010) (per curiam); *Am. Safety Indem. Co. v. Official Comm. of Unsecured Creditors (In re Am. Safety Indem. Co.*), 502 F.3d 70, 72 (2d Cir. 2007); *First Nationwide Bank v. Summer House Joint Venture*, 902 F.2d 1197, 1200

<sup>&</sup>lt;sup>6</sup> Pylon Manufacturing Corporation's Notice of Appeal to the United States Court of Appeals for the Federal Circuit, *Robert Bosch, LLC v. Pylon Mfg. Corp.*, No. 08-CV-542 (D. Del. Apr. 21, 2011) (Dkt. No. 397); Robert Bosch, LLC's Precautionary Notice of Appeal to the United States Court of Appeals for the Federal Circuit, *Robert Bosch, LLC v. Pylon Mfg. Corp.*, No. 08-CV-542 (D. Del. Apr. 21, 2011) (Dkt. No. 398).

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1 (5th Cir. 1990); County of Imperial v. United States, 348 F.2d 904, 905 (9th Cir. 1965) (per 2 curiam). 3 Any appeal may now be had only under 28 U.S.C. § 1295, from a "final decision" after 4 the damages trial. 28 U.S.C. § 1295(a)(1). Granting Samsung's request for entry of another "judgment on liability" would only cause unnecessary delay and judicial inefficiencies. Any 5 6 appeal of such a purportedly "new" judgment would be dismissed for lack of jurisdiction. The 7 parties and the Court then would be in the same place as today—preparing for a damages trial. 8 The Court and the parties have expended significant time and resources on damages issues and in 9 preparation for that upcoming trial. Apple would be prejudiced by an attempted improper appeal 10 now. Samsung's motion should be denied, and the Court should proceed with the trial on the 11 remaining damages issues. 12 **CONCLUSION** 13 For the foregoing reasons, Samsung's motion should be denied. 14 Dated: July 22, 2013 MORRISON & FOERSTER LLP 15 16 By: /s/ Harold J. McElhinny 17 Harold J. McElhinny 18 Attorneys for Plaintiff APPLE INC. 19 20 21 22 23 24 25 26 27 28